SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).			Filed pursuant to Section	led pursuant to Section 16(a) of the Securities Exchange Act of 1934									
. ,				of the Investment Company Act of 1940									
1. Name and Addre	<u>eph C</u>			and Ticker or Trading Symbol <u>AND PHARMACEUTICALS</u>]		ationship of F all applicab Director Officer (gi below)	le)	son(s) to Issuer 10% Owner Other (specify below)					
(Last) 2525 WEST EN	(First) ND AVENUE	(Middle)	3. Date of Earlies	st Transaction (Month/Day/Year)	1	DelOW)		below)					
SUITE 950			07/00/2021										
(2) ()			4. If Amendment	, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	/idual or Joir	nt/Group Filing	(Check Applicable					
(Street)	TINI	27202			X	Form filed	by One Repo	orting Person					
NASHVILLE	TN	37203				Form filed Person	by More than	One Reporting					
(City)	(State)	(Zip)											

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	07/08/2021		Р		142(1)	A	\$ <mark>3.18</mark>	32,559	D	
Common Stock	07/09/2021		Р		142(1)	A	\$ <mark>3.13</mark>	32,701	D	
Common Stock	07/12/2021		Р		142(1)	A	\$3.33	32,843	D	
Common Stock	07/13/2021		Р		142(1)	A	\$3.48	32,985	D	
Common Stock	07/14/2021		Р		142(1)	A	\$3.33	33,127	D	
Common Stock	07/15/2021		Р		142(1)	A	\$3.27	33,269	D	
Common Stock	07/16/2021		Р		142(1)	A	\$3.28	33,411	D	
Common Stock	07/19/2021		Р		142(1)	A	\$3.23	33,553	D	
Common Stock	07/20/2021		Р		142(1)	A	\$3.24	33,695	D	
Common Stock	07/21/2021		Р		142(1)	A	\$3.26	33,837	D	
Common Stock	07/22/2021		Р		142(1)	A	\$3.36	33,979	D	
Common Stock	07/23/2021		Р		142(1)	A	\$3.35	34,121	D	
Common Stock	07/26/2021		Р		142(1)	A	\$3.39	34,263	D	
Common Stock	07/27/2021		Р		142(1)	A	\$3.39	34,405	D	
Common Stock	07/28/2021		Р		142(1)	A	\$3.42	34,547	D	
Common Stock	07/29/2021		Р		142(1)	A	\$3.42	34,689	D	
Common Stock	07/30/2021		Р		142(1)	A	\$3.31	34,831	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Code (Instr. 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person during March 2021.

Remarks:

Date

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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