SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bur	den
hours per response:	0.5

Instruction 1(b).	File	ed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	Ļ		hours per resp	ponse: 0.5			
1. Name and Address of Reporting Pe Krogulski Kenneth	rson*	2. Issuer Name and Ticker or Trading Symbol <u>CUMBERLAND PHARMACEUTICALS</u> <u>INC</u> [CPIX]		ationship of Reporting Per < all applicable) Director Officer (give title below)	le)	on(s) to Issuer 10% Owner Other (specify			
(Last) (First) 1600 WEST END AVE., SUITE	(Middle) 2 1300	3. Date of Earliest Transaction (Month/Day/Year) 09/05/2023	1		ve lile	below)			
		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) NASHVILLE TN	37203		X		by One Repo by More than	rting Person One Reporting			
(City) (State)	(Zip)	Rule 10b5-1(c) Transaction Indication							
		X Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See			n or written plan	that is intended to			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/05/2023		L	v	325(1)	A	\$1.71	214,019	D	
Common Stock	09/06/2023		L	v	325 ⁽¹⁾	A	\$1.68	214,344	D	
Common Stock	09/07/2023		L	v	325(1)	A	\$1.71	214,669	D	
Common Stock	09/08/2023		L	v	325(1)	A	\$1.71	214,994	D	
Common Stock	09/11/2023		L	v	325(1)	A	\$1.74	215,319	D	
Common Stock	09/12/2023		L	v	325(1)	A	\$1.79	215,644	D	
Common Stock	09/13/2023		L	v	325(1)	A	\$1.86	215,969	D	
Common Stock	09/14/2023		L	v	325 ⁽¹⁾	A	\$1.87	216,294	D	
Common Stock	09/15/2023		L	v	72 ⁽¹⁾	A	\$1.82	216,366	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed Execution Date, if any 1. Title of 2. Conversion 3. Transaction 5. Number 6. Date Exercisable and 7. Title and 8. Price of Derivative 9. Number of derivative 10. 11. Nature of Indirect Derivative Date Transaction Expiration Date Amount of Ownership (Month/Dav/Year) Code (Instr. 8) Derivative Security or Exercise (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired Underlying Derivative Ownership (Instr. 4) (Instr. 3) (Month/Day/Year) (Instr. 5) Beneficially Direct (D) or Indirect (I) (Instr. 4) Owned (A) or Disposed of (D) (Instr. 3, 4 Security Security (Instr. 3 and 4) Following Reported Transaction(s) (Instr. 4) and 5) Amount or Number Date Expiration v Title (A) (D) Code Exercisable Date Shares

Explanation of Responses:

1. The reported purchases occurred automatically pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May16, 2023.

Remarks:

Kenneth J. Krogulski by /s/ John Hamm as attorney-in-

fact

09/19/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.